

SENSITIVE SECURITY INFORMATION

REV 4

SPOT REFERRAL REPORT

Version 4.0

Revised 02/23/2009

PART I: Behavioral Observation

Date: _____ Time: _____ SPOT Team Member (Last, First): _____
 Airport: _____ Location: _____ SPOT Team Member (Last, First): _____
 Initial Observation Reported by: _____ SPOT Team Member (Last, First): _____

Section 1 Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)

Section 2 Observation and Behavior Analysis

- 1 Point (each): STRESS Factors**
- _____ Makes 20-40 years old traveling together who are NOT part of a family
 - _____ Arrives late for flight, if known
 - _____ Avoids eye contact with security personnel or LEO
 - _____ Exaggerated yawning as the individual approaches the screening process
 - _____ Excessive fidgeting, clock watching, head-turning, shuffling feet, leg shaking
 - _____ Excessive perspiration inconsistent with the environment.
 - _____ Face pale from recent shaving of beard
 - _____ Facial flushing while undergoing screening
 - _____ Faster eye blink rate when individual requested to submit to screening procedures
 - _____ Increased breathing rate, panting
 - _____ Obvious "Adam's Apple" jump when requested to submit to screening procedures
 - _____ Protruding or beating neck arteries
 - _____ Repetitive touching of face
 - _____ Rubbing or wringing of hands
 - _____ Strong body odor
 - _____ Sweaty palms
 - _____ Trembling
 - _____ Whistling as the individual approaches the screening process

- 2 Points (each): FEAR Factors**
- _____ Bag appears to be heavier than expected or bag does not suit the individual's appearance
 - _____ Bulges in clothing
 - _____ Cold penetrating stare
 - _____ Constantly looking at other travelers or associates
 - _____ Exaggerated emotions or inappropriate behaviors to the location such as crying, excessive laughter, or chatter
 - _____ Exaggerated, repetitive grooming gestures
 - _____ Hesitation/indecision on entering checkpoint or submitting to screening process
 - _____ Individuals who are seemingly unrelated but display identical dress or luggage
 - _____ Powerful grip of a bag and/or hand inside the bag
 - _____ Rigid posture, minimal body movements with arms close to sides
 - _____ Scans area, appearing to look for security personnel or LEO
 - _____ Shows unusual interest in security officers and their work routine
 - _____ Displays arrogance and verbally expresses contempt for the screening process
 - _____ Wearing improper attire for location
 - _____ Widely open staring eyes

- 3 Points (each): DECEPTION Factors**
- _____ Appears to be confused or disoriented
 - _____ Appears to be in disguise
 - _____ Asks the BDO security-related questions
 - _____ Does not respond to authoritative commands
 - _____ Maintains covert ties with others (maintaining consistent eye contact with others, exhibiting hand gestures to others or passing objects to others)
 - _____ Repeatedly pats upper body with hands (not associated with divesting objects at X-ray or Walk Through Metal Detector (WTMD))
- 1 Point: SELECTEE**
- _____ Boarding pass indicates SELECTEE
- Deduct 2 Points: MEMBER OF FAMILY**
- _____ Member of a Family
- Deduct 2 Points: MARRIED COUPLE**
- _____ Apparent married couple with both spouses over 55 years old
- Deduct 1 Point: LOW RISK**
- _____ Female over 55 years old or Male over 65 years old

Section 2 Sub-Total: _____
 If score = 0-3 points, allow individual to proceed
 If score = 4-5 points, refer for selectee screening
 If score = 6 or more, refer for selectee screening & notify LEO

PART II: SPOT Resolution

Reason for Referral (select one): _____ Behavior _____ Companion behavior _____ Attempts to surrender a prohibited item outside the checkpoint to a BDO (not liquids, gels, or aerosols)

Section 3 Unusual Items

- 1 Point: For each unusual item observed that the passenger has no apparent reason to possess**
- _____ Almanacs
 - _____ Blueprints
 - _____ Global positioning system (GPS) unit
 - _____ Training manuals such as flight, scuba, explosive, or military
 - _____ Liquids or gels (in excess of 3.4 oz or 100 ml)
 - _____ Numerous prepaid calling cards or cell phones
 - _____ Photographs/diagrams of high profile targets
 - _____ Rope, wire, duct tape, loose batteries, loose electronic components

Section 4 Signs of Deception

- If two (2) or more signs of deception are observed during casual conversation, automatically initiate LED notification.
- _____ "Adam's Apple" jump
 - _____ Appearing not to understand questions
 - _____ Change in voice pitch, rate, volume, choice of words, dry mouth
 - _____ Constantly looking at other travelers or associates
 - _____ Covers mouth with hand when speaking
 - _____ Delayed Responses to questions
 - _____ Distracted or inability to pay attention to present situation
 - _____ Does not remember significant facts when answering questions
 - _____ Downplaying of significant facts when answering questions
 - _____ Evasive or vague responses
 - _____ Exaggerated yawning
 - _____ Excessive complaints about the screening process
 - _____ Excessive perspiration / inconsistent with the environment
 - _____ Excessive throat clearing
 - _____ Face becomes flushed
 - _____ Fast eye blink rate
 - _____ Gazing down
 - _____ Gestures don't match the verbal message
 - _____ Gives non-answers
 - _____ Increased breathing rate, panting
 - _____ Lacking details about purpose of trip
 - _____ Movement away from the official towards exit
 - _____ No or little direct eye contact
 - _____ Overly specific with answers that would appear to indicate the information is memorized
 - _____ Placing objects between self and official
 - _____ Repeating back questions instead of answers
 - _____ Scans area appearing to look for security personnel or LEO
 - _____ Trembling of voice or body
 - _____ Unfamiliar with passport/ID or ticket data
 - _____ Well-rehearsed answers that may not respond to questions or that may appear to be memorized
 - _____ Whistling during the screening process

Sections 2-3 TOTAL points: _____

SENSITIVE SECURITY INFORMATION
 WARNING THIS RECORD CONTAINS SENSITIVE SECURITY INFORMATION THAT IS CONTROLLED UNDER 49 C.F.R. PARTS 15 AND 1520. NO PART OF THIS RECORD MAY BE DISCLOSED TO PERSONS WITHOUT A "NEED TO KNOW," AS DEFINED IN 49 C.F.R. PARTS 15 AND 1520, EXCEPT WITH THE WRITTEN PERMISSION OF THE ADMINISTRATOR OF THE TRANSPORTATION SECURITY ADMINISTRATION OR THE SECRETARY OF TRANSPORTATION. UNAUTHORIZED RELEASE MAY RESULT IN CIVIL PENALTIES OR OTHER ACTION, FOR U.S. GOVERNMENT AGENCIES, PUBLIC DISCLOSURE GOVERNED BY 5 U.S.C. 552 AND 49 C.F.R. PARTS 15 AND 1520.

SENSITIVE SECURITY INFORMATION

SPOT REFERRAL REPORT

Version 4.0

Revised 02/23/2009

PART II: SPOT Resolution (continued)

Section 5 Automatic LEO Notification

No LEO Referral

- _____ Behaviors totaling 6 points (from Sections 2-3)
- _____ Clustering of suicide bomber indicative behaviors
- _____ Disorderly passenger or passenger interfering with screening
- _____ Displays two (2) or more signs of deception (from Section 4)

- _____ Firearm, dangerous weapon, hazardous material, or explosive discovered
- _____ Large sum of monies leaving U.S.
- _____ Large sum of monies with no apparent reason to possess
- _____ Passenger refusal to submit to screening

- _____ Purposeful concealment of prohibited item
- _____ Surveillance activity
- _____ Suspected unlawful drugs discovered
- _____ Travel documents
- _____ Travel companion referred to LEO

PART III: Data Collection

Section 6 Passenger Data

Air carrier: Origination airport: _____ Next destination airport: _____
 Flight number: Final destination airport: _____

Section 7 Prohibited Items

NONE

1 _____ X-RAY _____ BAG SEARCH _____ SURRENDERED (BEFORE CHECKPOINT)
 2 _____ X-RAY _____ BAG SEARCH _____ SURRENDERED (BEFORE CHECKPOINT)

* Include pertinent information in Notes block below.

Section 8 Resolution Statement

Resolution of Behaviors:

- Resolution:
- _____ LEO responded/did not question
 - _____ Resolved by TSA at selectee screening
 - _____ Denied boarding by carrier
 - _____ Questioned and arrested by LEO
 - _____ Questioned and released by LEO
 - _____ Referred for investigation by LEO
 - _____ LEO did not respond (provide details below)

Identified by LEO or passenger as:

- _____ Illegal alien
- _____ Self-deporting

Resolution of Behaviors: [Empty box for notes]

Section 9 LEO Resolution

LEO Resolution Notes: (Include information such as officer's name and ID# in Notes block below)

Primary LEO: ATF Reason for Arrest: Outstanding warrants
 CBP Undeclared currency
 DEA Suspected drugs
 FAM Illegal alien
 FBI Fraudulent documents
 ICE
 LOCAL LEO
 OTHER List Other LEO:

Screening Manager Notified: (Last, First)

CCTV Copied: YES NO

LEO Resolution Notes: [Empty box for notes]

SENSITIVE SECURITY INFORMATION
 WARNING: THIS RECORD CONTAINS SENSITIVE SECURITY INFORMATION THAT IS CONTROLLED UNDER 49 C.F.R. PARTS 15 AND 1520. NO PART OF THIS RECORD MAY BE DISCLOSED TO PERSONS WITHOUT A "NEED TO KNOW" AS DEFINED IN 49 C.F.R. PARTS 15 AND 1520, EXCEPT WITH THE WRITTEN PERMISSION OF THE ADMINISTRATOR OF THE TRANSPORTATION SECURITY ADMINISTRATION OR THE SECRETARY OF TRANSPORTATION. UNAUTHORIZED RELEASE MAY RESULT IN CIVIL PENALTIES OR OTHER ACTION. FOR U.S. GOVERNMENT AGENCIES, PUBLIC DISCLOSURE GOVERNED BY 5 U.S.C. 552 AND 49 C.F.R. PARTS 15 AND 1520.